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Patent

Applicant(s): Siani Lynne Pearson, et al.

U.S. Appln. No.: 10/080,478

U.S. Filing Date: February 22, 2002

Our Ref: B-4519 619565-9

For: "METHOD AND APPARATUS FOR
INVESTIGATING TRANSACTIONS IN
A DATA PROCESSING ENVIRONMENT"

) Date: December 4, 2003

Commissioner for Patents P.O. Box 1450 Alexandria VA, 22313-1450

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Sir:

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In accordance with the Applicants' duty to disclose information which may be material to the examination of this application, the undersigned respectfully requests that the Examiner consider on the merits the documents listed on the enclosed Form PTO-1449 (modified) before issuing the first Office Action on the merits. We are enclosing herewith a copy of each document listed on the enclosed Form PTO-1449 (modified).

It should be noted that the above-identified application is related by subject matter to the following U.S. Application(s): 09/979,902, filed November 27, 2001; 09/979,903, filed November 27, 2001; 10/080,476, filed February 22, 2002; 10/080,477, filed February 22, 2002; 10/080,479, filed February 22, 2002; 10/194,831, filed July 11, 2002; 10/206,812, filed July 26, 2002; and 10/208,718, filed July 29, 2002. Pursuant to 37 C.F.R. 1.56(a) and M.P.E.P. 2004, paragraph 9, the applicant brings these co-pending applications to the attention of the Examiner. The Examiner should consider this information during the prosecution of the above-identified application.

The filing of this Information Disclosure Statement (IDS) shall not be construed as a representation that a search has been made (37 C.F.R. 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

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The Applicants believe that this IDS is being submitted before the issuance of a first Office Action on the merits and before the issuance of a Final Rejection or Notice of Allowance. Therefore, no official fees should be due; and this IDS should be considered on the merits. If this IDS is being submitted after the issuance of the first Office Action on the merits and before the issuance of a Final Rejection or Notice of Allowance, please contact the undersigned to authorize a payment of \$180.00 (or any other required amount), which is the fee set forth in 37 C.F.R. § 1.97(c), if the Examiner believes that such a fee is due in order for this IDS to be considered on the merits.

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. (Notice of January 9, 1992, 1135 O.G. 13-25, at 25.)

The person making this statement is the practitioner who signs below on the basis of information supplied by an individual associated with the filing and prosecution of this application (37 C.F.R. § 1.56(c)) and on the basis of information in the practitioner's file.

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first-class mail in an envelope addressed to the "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450",

on December 4, 2003 by Alexis Karriker.

Respectfully submitted,

Ross A. Schmitt
Attorney for Applicant

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Enclosures: Form PTO-1449 (modified) (1 page)

Copy of documents listed on Form PTO-1449 (modified)

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December 4, 2003

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Form PTO-1449 (Modification	<b>ATTY DOCKET NO.</b> B-4519 619565-9	U.S. SERIAL NO. 10/080,478			
LIST OF PATENTS AND PUBLICATIONS	APPLICANTS Siani Lynne Pearson, et al.				
STATEMENT	FILING DATE February 22, 2002	group 3713			

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	ISSUE DATE	NAME	CLASS	SUB- CLASS	FILING DATE OF 102(e) DATE IF APPROPRIATE
	09/979,902		Proudler et al.			11/27/2001
	09/979,903		Proudler et al.			11/27/2001
	10/080,476		Proudler et al.			2/22/2002
	10/080,477		Brown et al.			2/22/2002
	10/080,479		Pearson et al.			2/22/2002
	10/194,831		Chen et al.	A T		7/11/2002
	10/206,812		Proudler			7/26/2002
	10/208,718		Chen et al.			7/29/2002

				FORE:	GN PATEN	T DOCUMENTS
	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO

OTHER	DOCUMENTS	(Including Auth	or, Title,	Date,	Pertinent	Pages,	Etc.)	

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.